

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

17374 U.S. PTO
10/727266
120403

Mail Stop Patent Application
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Atty. Dkt.: 900-483

Date: December 4, 2003

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Attached for filing is the patent application of:

Inventor: ENDOH et al.

Entitled: SEMICONDUCTOR MEMORY DEVICE AND ITS PRODUCTION PROCESS

and including attachments as noted below:

- ☒ Newly executed Declaration, ☐ Copy of Declaration from prior application, ☒ Abstract
☐ Please **delete** the following inventors in the continuation/division/continuation-in-part application:

Deleted persons:

147 pages of specification and claims (including 32 numbered claims), and

241 sheets of accompanying drawing/s.

- ☒ Record the attached assignment to SHARP KABUSHIKI KAISHA, Osaka, Japan and return to the undersigned.
☐ Attached is a Power of Attorney.
☒ Priority is hereby claimed under 35 U.S.C. § 119 based on the following foreign applications:

Application Number**Country****Day/Month/Year Filed**

2002-354403

Japan

5 December 2002

, respectively, the entire content of which is hereby incorporated by reference in this application..

- ☒ Certified copy(ies) of foreign application(s) is/are attached.
☐ Certified copy(ies) filed on _____ in prior appln. no. _____ filed _____
☐ This application claims the benefit of Provisional Application No. _____, filed _____, the entire content of which is hereby incorporated by reference in this application.
☐ This application is a ☐ continuation/☐ division/☐ continuation-in-part of Application No. _____, filed _____, the entire content of which is hereby incorporated by reference in this application.
☐ Petition filed in prior application to extend its life to insure co-pendency.
☐ The prior application is assigned to _____
☐ It is hereby requested that the Examiner consider the art cited in the parent application by applicant and/or the Examiner for the reasons stated therein. A listing of that art is attached.
☐ Applicant claims "small entity" status. ☐ "Small entity" statement attached.
☐ Please enter the attached and/or below preliminary amendment **prior** to calculation of filing fee:

- ☒ Also attached: ☒ Information Disclosure Statement; ☐ Non-Publication Request; ☐ Nucleotide and/or Amino Acid Sequence Submission; ☐ Statement deleting Inventor(s) named in prior application; ☒ Other: Form PTO-1449 and cited reference

FILING FEE IS BASED ON CLAIMS AS FILED LESS ANY HEREWITH CANCELED

Basic Filing Fee				\$	770.00
Total effective claims	32	- 20 (at least 20) =	12	x \$ 18.00	\$ 216.00
Independent claims	2	- 3 (at least 3) =	0	x \$ 86.00	\$ 0.00
If any proper multiple dependent claims now added for first time, add \$290.00 (ignore improper)				\$	0.00
				SUBTOTAL	\$ 986.00
If "small entity," then enter half (1/2) of subtotal and subtract				-\$ (0.00)
				SECOND SUBTOTAL	\$ 986.00
Assignment Recording Fee (\$40.00)				\$	40.00
				TOTAL FEE ENCLOSED	\$ 1,026.00

Any future submission requiring an extension of time is hereby stated to include a petition for such time extension.

The Commissioner is hereby authorized to charge any deficiency, or credit any overpayment, in the fee(s) filed, or asserted to be filed, or which should have been filed herewith (or with any paper hereafter filed in this application by this firm) to our **Account No. 14-1140**.A duplicate copy of this sheet is attached.

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NIXON & VANDERHYE P.C.

By Atty: H. Warren Burnam, Jr., Reg. No. 29,366

Signature: *H. Warren Burnam, Jr.*